



# The limitedness problem on distance automata: Hashiguchi's method revisited<sup>☆</sup>

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## Abstract

Hashiguchi has studied the limitedness problem of distance automata (DA) in a series of paper [(J. Comput. System Sci. 24 (1982) 233; Theoret. Comput. Sci. 72 (1990) 27; Theoret. Comput. Sci. 233 (2000) 19)]. The distance of a DA can be limited or unbounded. Given that the distance of a DA is limited, Hashiguchi has proved in Hashiguchi (2000) that the distance of the automaton is bounded by  $2^{4n^3+n \lg(n+2)+n}$ , where  $n$  is the number of states. In this paper, we study again Hashiguchi's solution to the limitedness problem. We have made a number of simplification and improvement on Hashiguchi's method. We are able to improve the upper bound to  $2^{3n^3+n \lg n+n-1}$ .

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## 1. Introduction

A distance automaton (DA) is a nondeterministic finite automaton (NFA) extended with a distance 0 or 1 on each transition. A string in the language of an NFA may be accepted by many different accepting paths. We define the distance of a path to be the sum of the distances on the transitions in the path. The distance of an accepted string is the minimum of the distances over the different accepting paths. The distance of a DA is the supremum over all distances of the accepted strings. The limitedness problem is to determine if a given DA is limited or unbounded in distance.

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Hashiguchi studied the star height problem and the representation problems for regular languages in [2,4,5]. His solutions relied heavily on the decidability result of the limitedness problem [3,6] for distance automata. Leung [8,9,10] solved the limitedness problem for distance automata by using semigroup theory. Using factorization forests, Simon [13,14] also solved the limitedness problem. A survey on these results is given by Simon in [12].

It has been shown [9] that the limitedness problem for distance automata is PSPACE-hard. Hashiguchi has proved in [7] that the distance of the automaton, if limited, is bounded by  $2^{4n^3+n \lg(n+2)+n}$ , where  $n$  is the number of states. Based on the single exponential upper bound result, we design a nondeterministic polynomial space algorithm<sup>1</sup> to decide the limitedness problem for distance automata. Next, by Savitch's theorem (Theorem 7.12 of [1]) we can solve the limitedness problem in deterministic polynomial space.

However, we have found that Hashiguchi's work is difficult to understand. In this paper, we study again Hashiguchi's solution to the limitedness problem. We have made a number of simplification and improvement on Hashiguchi's method. We are able to improve the upper bound to  $2^{3n^3+n \lg n+n-1}$  (Theorem 3).

The aim of this paper is to present a clean and simple proof of the result. Its aim is not to compute the lowest possible bound even though our bound is lower than the one obtained by Hashiguchi.

## 2. Limitedness problem on distance automata

Let  $\mathbb{N}$  denote the set of nonnegative integer numbers. A distance automaton is a 5-tuple  $(Q, \Sigma, d, Q_I, Q_F)$  where  $Q$  is the set of states,  $\Sigma$  is the alphabet set,  $d: Q \times \Sigma \times Q \rightarrow \{0, 1, \infty\}$  is the distance function,  $Q_I \subseteq Q$  is the set of starting states and  $Q_F \subseteq Q$  is the set of final states. Let  $n = |Q|$  be the number of states.

Given a path  $(q_1, a_1, q_2, a_2, \dots, a_k, q_{k+1})$ , the distance of the path is defined as  $d(q_1, a_1, q_2) + d(q_2, a_2, q_3) + \dots + d(q_k, a_k, q_{k+1})$ . Consider the processing of a string  $x$  from state  $p$  to state  $q$ . Since a distance automaton is nondeterministic, there could be many different paths. We define the distance to be the minimum distance over the different paths.

We extend the distance function  $d$  to a function  $Q \times \Sigma^* \times Q \rightarrow \mathbb{N} \cup \{\infty\}$  such that  $d(p, x, q)$  denotes the distance used by the automaton for going from state  $p$  to state  $q$  consuming  $x \in \Sigma^*$ .

The distance of an accepted string  $x$  is defined as  $d(x) = \min_{p \in Q_I, q \in Q_F} d(p, x, q)$ . The distance of a DA  $M$  is defined to be  $\sup_{x \in L(M)} d(x)$ .

<sup>1</sup>The algorithm guesses an accepted string  $w$  that requires a distance more than  $2^{4n^3+n \lg(n+2)+n}$ . The algorithm does not hold the complete string  $w$  in its memory. The string  $w$  is guessed and processed one symbol at a time. At any moment, the program maintains a set of states reached together with the associated distances. To represent a distance that does not exceed  $2^{4n^3+n \lg(n+2)+n}$ , we need at most  $4n^3 + n \lg(n+2) + n$  bits. If the distance exceeds  $2^{4n^3+n \lg(n+2)+n}$ , we need only to remember it by a special value (that takes a constant amount of space). The total space needed is  $n * O(4n^3) = O(n^4)$ , which is polynomial.

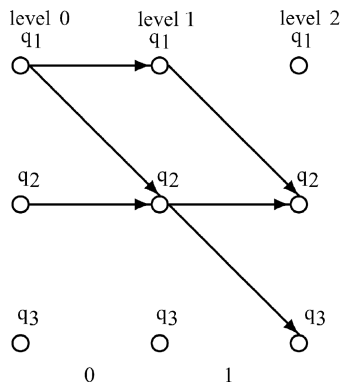


Fig. 1. Highway structure for the string 01.

### 2.1. Highway and decomposition

Let  $Q = \{q_1, q_2, \dots, q_n\}$ . Let  $x = a_1 a_2 \dots a_k \in \Sigma^+$  be a string where  $a_1, a_2, \dots, a_k \in \Sigma$ . We define the 0-distance graph of  $x$  to be a directed graph  $(V, E)$  where  $V = \{q_{i,j} \mid 0 \leq i \leq k, 1 \leq j \leq n\}$  and  $E = \{(q_{i-1,j}, q_{i,h}) \mid d(q_j, a_i, q_h) = 0, 1 \leq i \leq k\}$ . The 0-distance graph is also called the highway associated with  $x$ . The highway has  $k + 1$  levels of vertices. The  $i$ th level consists of vertices  $q_{i,j}$  where  $1 \leq j \leq n$ . Each edge going from the  $(i - 1)$ th level to the  $i$ th level corresponds to a 0-distance transition that consumes  $a_i$ . A path of the highway of length  $k$  is called a lane of the highway. We say that two lanes are disjoint if the two paths of length  $k$  have disjoint vertices. The width of a highway is the maximum number of disjoint lanes in the highway.

**Example 1.** Consider a distance automaton with three states  $\{q_1, q_2, q_3\}$  over a two-letter alphabet  $\{0, 1\}$ . Suppose the 0-distance transitions consist of moves  $\{(q_1, 0, q_1), (q_1, 0, q_2), (q_2, 0, q_2), (q_1, 1, q_2), (q_2, 1, q_2), (q_2, 1, q_3)\}$ . That is,  $d(q_1, 0, q_1) = d(q_1, 0, q_2) = d(q_2, 0, q_2) = d(q_1, 1, q_2) = d(q_2, 1, q_2) = d(q_2, 1, q_3) = 0$ . The rest of the transitions are assumed to have distances other than 0. Let  $x = 01$ . We can find three lanes in the highway associated with  $x$ . The three lanes are respectively  $l_1 = (q_1, 0, q_1, 1, q_2)$ ,  $l_2 = (q_1, 0, q_2, 1, q_2)$  and  $l_3 = (q_2, 0, q_2, 1, q_3)$ . See Fig. 1. However, the three lanes are not disjoint. The second lane  $l_2$  intersects with the first lane  $l_1$  on the starting state.  $l_2$  also intersects with  $l_3$  on the second state. On the other hand,  $l_1$  and  $l_3$  are disjoint. Thus, the maximum number of disjoint lanes is 2, which is defined to be the width of the highway.

We say that a non-empty string  $x$  is primitive if either it has only one symbol or the string  $x$  with its last symbol removed has a larger highway width than the width of the highway associated with  $x$ .

**Example 2.** We continue with the previous example. The string 010 has a highway width of one, whereas the string 01 has a highway width of two. The string 11 has

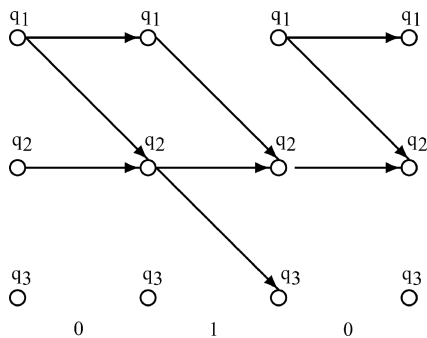


Fig. 2. Highway structure for the string 010.

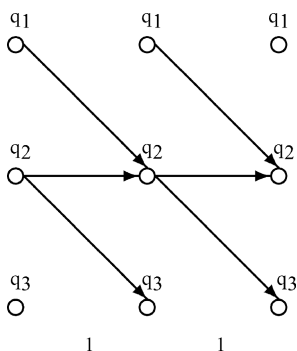


Fig. 3. Highway structure for the string 11.

a highway width of one, whereas the string 1 has a highway width of two. Thus, the two strings 010 and 11 are both primitive. See Figs. 2 and 3. Moreover, the strings 0 and 1 are both primitive since they are of length one.

We decompose a given string  $x$  according to highway width. A string  $x$  is decomposed into a tuple of non-empty strings  $(x_1, x_2, \dots, x_m)$  such that  $x = x_1 x_2 \dots x_m$  where  $x_1, x_2, \dots, x_{m-1}$  are primitive strings of the same highway width as that of  $x$  and for the string  $x_m$ , either it is also a primitive string of the same highway width as that of  $x$  or it has a larger highway width than that of  $x$ . We call each string  $x_i$  a component in the decomposition of  $x$ .

**Example 3.** Again we continue with the previous examples. The string  $x = 0101100$  has highway width one. It is decomposed into  $(010, 11, 00)$  where 010 and 11 are primitive strings of width one, 00 is a string of width two.

## 2.2. Relatives of a string

Let  $x$  be a string. The relatives of  $x$  is a family of strings  $x(k) \in \Sigma^*$ ,  $k \geq 1$ , such that  $x(1) = x$ . In addition, the relatives of  $x$  have to satisfy further conditions that we describe below. We call  $x(k)$  the  $k$ th relative of  $x$ . Note that it is possible to define  $x(k) = x$  for  $k \geq 1$ .

We say that  $(p, q)$  is a free pair of states for the relatives of  $x$  when  $d(p, x(k), q) = 0$  for  $k \geq 1$ . We say that  $(p, q)$  is an unreachable pair of states for the relatives of  $x$  when  $d(p, x(k), q) = \infty$  for  $k \geq 1$ . We say that  $(p, q)$  is a bounded pair of states for the relatives of  $x$  when there exists  $b \in \mathbb{N}$  such that  $1 \leq d(p, x(k), q) < b$  for  $k \geq 1$ . We say that  $(p, q)$  is an unbounded pair of states for the relatives of  $x$  when  $0 \neq d(p, x(k), q) \in \mathbb{N}$  for  $k \geq 1$  and  $\lim_{k \rightarrow \infty} d(p, x(k), q) = \omega$ .

We say that  $(p, q)$  is a good pair of states if  $(p, q)$  is either a free pair or a bounded pair. We say that  $(p, q)$  is a bad pair of states if  $(p, q)$  is either an unreachable pair or an unbounded pair.

**Definition 1.** The relatives of a string  $x$  is a family of strings  $x(k) \in \Sigma^*$ ,  $k \geq 1$ , such that  $x(1) = x$  and for each pair of states  $(p, q)$ , either it is a free pair, a bounded pair, an unbounded pair or an unreachable pair.

We say that the limited distance behaviors of the relatives of  $x$  are bounded by  $B$  if for all bounded pair of states  $(p, q)$  and for all  $k \geq 1$ , we have  $d(p, x(k), q) \leq B$ . We say that the limited distance behaviors of the relatives of  $x$  are bounded above by  $B$  if for all bounded pair of states  $(p, q)$  and for all  $k \geq 1$ , we have  $d(p, x(k), q) < B$ .

Given the relatives of  $x$  and the relatives of  $y$ , we say that the two families of relatives have the same ‘structure’ if the two relative families have the same set of free state pairs, the same set of bounded state pairs, the same set of unbounded state pairs and the same set of unreachable state pairs. Since there are  $n^2$  possible state pairs, there could be at most  $4^{n^2} = 2^{2n^2}$  possible different structures of relative families.

Given the relatives  $x(k)$  of  $x$  and the relatives  $y(k)$  of  $y$ , suppose we define the relatives of  $xy$  such that  $xy(k) = x(k)y(k)$  for  $k \geq 1$ . That is, the  $k$ th relative of  $xy$  is the concatenation of the  $k$ th relative of  $x$  and the  $k$ th relative of  $y$ . Note that  $xy(1) = x(1)y(1) = xy$ . One can verify that the relatives of  $xy$  defined in this way satisfy all requirements of relatives given above.

## 2.3. Main lemma

Let  $f(n) = n$ ,  $f(t) = (2n - 1)2^{4n^2} f(t + 1)$  for  $0 < t < n$  and  $f(0) = 2^{4n^2} f(1)$ .

**Lemma 1.** Given any string  $x$  of highway width  $h$ , there exist relatives of  $x$ ,  $x(k)$  for  $k \geq 1$ , such that the limited distance behaviors of the relatives of  $x$  are bounded above by  $f(h)$ .

As an immediate consequence of the main lemma, the distance of an automaton, if limited, is bounded by  $f(0)$  which is  $n(2n - 1)^{n-1} (2^{4n^2})^n < n(2n)^{n-1} (2^{4n^2})^n = 2^{4n^3 + n \lg n + n - 1}$ .

**Theorem 2.** *The distance of an automaton, if limited, is bounded by  $2^{4n^3+n} \lg n + n - 1$ .*

#### 2.4. Proof of main lemma

We prove the main lemma by an induction on the highway width.

##### 2.4.1. Base case (highway width is $n$ )

Consider the base case when the highway width is  $n$ . We define the relatives of  $x$ ,  $x(k)$ , to be  $x$  for all  $k \geq 1$ . Since the highway is of width  $n$ , every state is part of the highway system at any stage of the processing for  $x$ . Given a state pair  $(p, q)$ , either we cannot reach  $q$  from  $p$  consuming  $x$  or  $q$  can be reached from  $p$  by switching lanes for at most  $n - 1$  times. Thus, the distance  $d(p, x, q)$  is either  $\infty$  or less than  $n$ .

##### 2.4.2. General case (highway width is between 0 and $n$ )

We consider the general case when the highway width  $t$  of  $x$  is between 0 and  $n$ . Let  $(x_1, x_2, \dots, x_m)$  be the decomposition of  $x$ , where  $m$  is the number of components in the decomposition of  $x$ .

*Case 1:  $m = 1$ .*

$x$  must be primitive; otherwise, it has more than one component in its decomposition. Let  $x = ya$  where  $y \in \Sigma^*$  and  $a \in \Sigma$  is a single symbol. We define the relatives  $x(k) = y(k)a$  for  $k \geq 1$ . Note that  $y$  may be an empty string  $\varepsilon$ . In that case,  $y(k) = \varepsilon$  for  $k \geq 1$ . Let us first consider the general case when  $y \neq \varepsilon$ . Since by the induction hypothesis the limited distance behaviors of the relatives of  $y$  are bounded *above* by  $f(h)$  where  $h$  is the highway width of  $y$  and  $h \geq t + 1$ , the limited distance behaviors of the relatives of  $x$  are bounded by  $f(h) \leq f(t + 1)$ . It is easy to see that the limited distance behaviors of the relatives of  $x$  are bounded above by  $f(t)$  since  $f(t) > f(t + 1)$ . For the special case when  $y = \varepsilon$ , the limited distance behaviors of the relatives of  $x$ , where  $x(k) = a$  for all  $k \geq 1$ , are bounded by the distance one, which is easily seen to be bounded above by  $f(t)$ . Since  $y(1) = y$ , we have  $x(1) = y(1)a = ya = x$ .

Before we continue with cases 2 and 3 where  $m > 1$ , we define the relatives of a component  $x_i$  in the same way as discussed in case 1 if the component  $x_i$  is primitive and has highway width  $t$ . If  $x_m$  has a larger highway width than  $t$ , then by the induction hypothesis the relatives of  $x_m$  have already been defined. In both cases, the limited distance behaviors of a component  $x_i$  are bounded by  $f(t + 1)$ .

*Case 2:  $1 < m < 2^{4n^2}$ .*

We define the relatives of  $x$ ,  $x(k)$ , to be  $x_1(k)x_2(k)\dots x_m(k)$ . It is immediate that  $x(1) = x$  by the assumption that  $x_i(1) = x_i$  for  $1 \leq i \leq m$ . The distance behaviors of the relatives of  $x$  are computed by the composition of the distance behaviors of the relatives of its components. Thus, the limited distance behaviors of the relatives of  $x$  are bounded above by  $2^{4n^2} f(t + 1)$  since the limited distance behaviors of the relatives of  $x_i$  are bounded by  $f(t + 1)$ .

*Case 3:  $m \geq 2^{4n^2}$ .*

We need to define the relatives of  $x$ , which involve ‘pumping’. We assume that there are some bounded pairs of states for the relatives of  $x$ . Otherwise, it is

trivially true that the limited distance behaviors of the relatives of  $x$  are bounded above by  $f(t)$ .

Let  $c$  denote the number  $2^{4m^2}$ . We divide the  $m$  components of  $x$  into groups of  $c$  components each. That is, the first group has components  $x_1, x_2, \dots, x_c$ . The second group has components  $x_{c+1}, x_{c+2}, \dots, x_{2c}$ . The third group has components  $x_{2c+1}, x_{2c+2}, \dots, x_{3c}$ . If  $c$  does not divide  $m$ , the last group would have less than  $c$  components.

We need to introduce some notations. We write  $x_{i,j}$  to denote the string  $x_i x_{i+1} x_{i+2} \dots x_j$ . We write  $x_{i,j}(k)$  to denote the  $k$ th relative of the string  $x_{i,j}$  which is defined as  $x_i(k) x_{i+1}(k) x_{i+2}(k) \dots x_j(k)$  for  $k \geq 1$ .

Consider the  $(r+1)$ th group of  $c$  components  $(x_{rc+1}, x_{rc+2}, \dots, x_{rc+c})$ . Let  $1 < i \leq c$ . Consider the  $i$ th partition of the group into  $x_{rc+1} x_{rc+2} \dots x_{rc+i-1}$  and  $x_{rc+i} x_{rc+i+1} \dots x_{rc+c}$ . We define the structure of the  $i$ th partition as the ordered pair (structure of  $x_{rc+1, rc+i-1}(k)$ , structure of  $x_{rc+i, rc+c}(k)$ ). We want to argue that there are two different partitions of the  $(r+1)$ th group with the same structure.

Since it is assumed that some bounded pairs must occur, the number of possible structures of relative families is at most  $2^{2n^2} - 1$ . Thus, the number of possible structures of a partition is at most  $(2^{2n^2} - 1)(2^{2n^2} - 1)$ . On the other hand, there are  $c - 1$  different partitions where  $c - 1 = 2^{4m^2} - 1$  which is larger than  $(2^{2n^2} - 1)(2^{2n^2} - 1)$ . Thus, by the pigeonhole principle, there are two different partitions of the  $(r+1)$ th group with the same structure.

Suppose the structure of the  $i$ th partition is the same as the structure of the  $j$ th partition, where  $1 < i < j \leq c$ . We introduce pumping to create a family of relatives associated with the  $(r+1)$ th group of  $c$  components. We define the relatives for the  $(r+1)$ th group as  $g_{r+1}(k) = x_{rc+1, rc+i-1}(k) (x_{rc+i, rc+j-1}(k))^k x_{rc+j, rc+c}(k)$ . Note that the  $k$ th relative of  $x_{rc+i, rc+j-1}$  is pumped by exactly  $k$  times.

We also denote  $x_{rc+1, rc+i-1}(k)$  by  $\alpha_{r+1}(k)$ ,  $x_{rc+i, rc+j-1}(k)$  by  $\beta_{r+1}(k)$  and  $x_{rc+j, rc+c}(k)$  by  $\gamma_{r+1}(k)$ . Thus,  $g_{r+1}(k) = \alpha_{r+1}(k) (\beta_{r+1}(k))^k \gamma_{r+1}(k)$ .

Suppose there are  $d$  groups of  $c$  components, where  $d = \lfloor m/c \rfloor$ . Let the last group be the  $(d+1)$ th group which does not have  $c$  components. Note that the last group is empty if  $c$  divides  $m$ .

The relatives for the  $(d+1)$ th group is defined as  $g_{d+1}(k) = x_{dc+1}(k) x_{dc+2}(k) \dots x_m(k)$ . That is, there is no pumping involved. If  $c$  divides  $m$ , then  $g_d(k) = \varepsilon$ .

We define the relatives of  $x$ ,  $x(k)$ , to be  $g_1(k) g_2(k) \dots g_d(k) g_{d+1}(k)$ . By the inductive assumption that  $x_i(1) = x_i$  for  $1 \leq i \leq m$ , it is easy to verify that  $x(1) = x$ .

By the way the pumping construction is defined, the structure of  $\gamma_{r+1}(k)$  has the same set of free and unreachable state pairs as the structure of  $\beta_{r+1}(k) \gamma_{r+1}(k)$ . By the composition of the structures, we deduce that the structure of  $(\beta_{r+1}(k))^2 \gamma_{r+1}(k)$  has the same set of free and unreachable state pairs as the structure of  $\beta_{r+1}(k) \gamma_{r+1}(k)$ . Similarly, the structure of  $(\beta_{r+1}(k))^k \gamma_{r+1}(k)$  also has the same set of free and unreachable state pairs as the structure of  $\beta_{r+1}(k) \gamma_{r+1}(k)$ . Thus, the structure of  $g_{r+1}(k) = \alpha_{r+1}(k) (\beta_{r+1}(k))^k \gamma_{r+1}(k)$  has the same set of free and unreachable state pairs as the structure of  $\alpha_{r+1}(k) \beta_{r+1}(k) \gamma_{r+1}(k) = x_{rc+1, rc+c}(k)$ . Therefore, both structures for  $x(k)$  and  $x_1(k) x_2(k) \dots x_m(k)$  have the same set of free and unreachable state pairs.

In order to show that  $x(k)$ ,  $k \geq 1$ , satisfy the definition of a relative family, it remains to show that if a state pair  $(p, q)$  is not free or unreachable, then it is either bounded or unbounded.

Suppose  $(p, q)$  is not an unbounded pair of states for the relatives of  $x$ . Also, suppose that  $(p, q)$  is not a free or unreachable pair of states. We want to show that  $(p, q)$  is a bounded pair of states for the relatives of  $x$ .

Recall that  $x(k) = \alpha_1(k)(\beta_1(k))^k \gamma_1(k) \dots \alpha_d(k)(\beta_d(k))^k \gamma_d(k) g_{d+1}(k)$ . Since  $(p, q)$  is not an unbounded pair,  $\lim_{k \rightarrow \infty} d(p, x(k), q) \neq \omega$ . That is,  $\exists B, \forall k, \exists K > k, d(p, x(K), q) < B$ . Let  $k = nB$ . We choose a large enough value  $K$  such that  $K > nB$  and moreover for all  $1 \leq i \leq d$ ,  $\alpha_i(K)(r, s) > B$  for any unbounded pair  $(r, s)$  for the relatives  $\alpha_i(k)$ ,  $\beta_i(K)(r, s) > B$  for any unbounded pair  $(r, s)$  for the relatives  $\beta_i(k)$ ,  $\gamma_i(K)(r, s) > B$  for any unbounded pair  $(r, s)$  for the relatives  $\gamma_i(k)$ , and  $g_{d+1}(K)(r, s) > B$  for any unbounded pair  $(r, s)$  for the relatives  $g_{d+1}(k)$ . Since  $d(p, x(K), q) < B$ , there is a path  $P$  from  $p$  to  $q$  of distance less than  $B$  consuming  $x(K)$ . Let  $P = (p, \alpha_1(K), p_1, (\beta_1(K))^K, q_1, \gamma_1(K), s_2, \alpha_2(K), p_2, (\beta_2(K))^K, q_2, \gamma_2(K), \dots, s_d, \alpha_d(K), p_d, (\beta_d(K))^K, q_d, \gamma_d(K), s_{d+1}, g_{d+1}(K), q)$  that visit states  $p_1, q_1, s_2, p_2, q_2, \dots, s_d, p_d, q_d, s_{d+1}$  such that  $(s_i, p_i)$  is a good pair for the relatives  $\alpha_i(k)$ ,  $d(p_i, (\beta_i(K))^K, q_i) < B$ ,  $(q_i, s_{i+1})$  is a good pair for the relatives  $\gamma_i(k)$ , where  $1 \leq i \leq d$  and  $s_1 = p$ , and  $(s_{d+1}, q)$  is a good pair for the relatives  $g_{d+1}(k)$ . Thus, there is a path of cost less than  $B$  going from  $p_i$  to  $q_i$  consuming  $(\beta_i(K))^K$ . Let the path be  $(z_{i_0}, \beta_i(K), z_{i_1}, \beta_i(K), z_{i_2}, \dots, \beta_i(K), z_{i_k})$  where  $z_{i_0} = p_i$ ,  $z_{i_k} = q_i$  and  $z_{i_1}, \dots, z_{i_{k-1}}$  are the intermediate states reached after each copy of  $\beta_i(K)$  is processed. Since the distance  $d(p_i, (\beta_i(K))^K, q_i)$  is less than  $B$  and  $K$  is chosen such that  $K > nB$ , by the pigeonhole principle there exists two indices  $0 \leq e < f \leq K$  such that  $z_{i_e} = z_{i_f}$  and  $d(z_{i_e}, (\beta_i(K))^{f-e}, z_{i_f}) = 0$ . Let  $z_{i_e} = z_{i_f}$  be denoted by  $z_i$ .

Consider the processing of the  $k$ th relative of  $x$  from state  $p$  to state  $q$ , where  $k$  is any positive integer. We want to argue that there is a path  $(p, \alpha_1(k), u_1, (\beta_1(k))^k, v_1, \gamma_1(k), s_2, \alpha_2(k), u_2, (\beta_2(k))^k, v_2, \gamma_2(k), \dots, s_d, \alpha_d(k), u_d, (\beta_d(k))^k, v_d, \gamma_d(k), s_{d+1}, g_{d+1}(k), q)$  from  $p$  to  $q$  consuming  $x(k)$  that visit states  $u_1, v_1, s_2, u_2, v_2, \dots, s_d, u_d, v_d, s_{d+1}$  such that  $(s_i, u_i)$  is a good pair for the relatives  $\alpha_i(k)$ ,  $d(u_i, (\beta_i(k))^k, v_i) = 0$ ,  $(v_i, s_{i+1})$  is a good pair for the relatives  $\gamma_i(k)$ , where  $1 \leq i \leq d$  and  $s_1 = p$  and  $(s_{d+1}, q)$  is a good pair for the relatives  $g_{d+1}(k)$ .

We need to explain how to select the values for  $u_i$  and  $v_i$  where  $1 \leq i \leq d$  for the processing of any  $k$ th relative of  $x$ . Note that  $s_i$ 's are selected as in the processing of  $x(K)$ . We select  $u_i$  to be  $z_i$  as explained above for the processing of  $\beta_i(K)^K$ . By the condition for pumping, we deduce that  $(s_i, u_i)$  is a good pair of  $\alpha_i(k)$ . To process  $k$  copies of  $\beta_i(k)$ , the path is  $(z_{i_e}, \beta_i(k), z_{i_{e+1}}, \beta_i(k), z_{i_{e+2}}, \beta_i(k), \dots, \beta_i(k), z_{i_f}, \beta_i(k), z_{i_{e+1}}, \beta_i(k), z_{i_{e+2}}, \dots)$ . The last state reached after processing  $k$  copies of  $\beta_i(k)$  is defined as the state  $v_i$ . Again by the condition for pumping, we deduce that  $(v_i, s_{i+1})$  is a good pair of  $\gamma_i(k)$ .

We claim that the 0-distance path from  $u_i$  to  $v_i$  consuming  $(\beta_i(k))^k$  intersects with one of  $t$  0-distance paths for  $x(k)$ , where  $t$  is the highway width for  $x$ . Note that we are not claiming that the highway width for  $x(k)$  is  $t$ . The  $t$  0-distance paths for  $x(k)$  may not be disjoint. To prove the claim, we need to construct  $t$  0-distance paths for  $x(k)$  based on  $t$  disjoint highway lanes for  $x$ .

We first consider the consequence of the claim, and defer proving the claim until later. Suppose the 0-distance path from  $u_i$  to  $v_i$  and the 0-distance path from  $u_j$  to

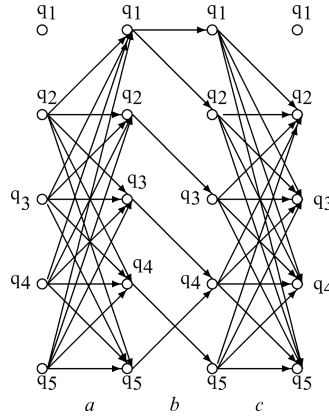


Fig. 4. Highway structure for the string  $abc$ .

$v_j$  both intersect with the same 0-distance path for  $x(k)$ , where  $i < j$ . It is immediate that  $d(u_i, (\beta_i(k))^k \gamma_i(k) g_{i+1}(k) g_{i+2}(k) \dots g_{j-1}(k) \alpha_j(k) (\beta_j(k))^k, v_j) = 0$ . Since  $t < n$ , there are less than  $n$  good pairs of  $(s_i, u_i)$  that require non-zero distance to process  $\alpha_i(k)$ . Similarly, there are less than  $n$  good pairs of  $(v_i, s_{i+1})$  that require non-zero distance to process  $\gamma_i(k)$ . Finally, the good pair  $(s_{d+1}, q)$  may require non-zero distance to process  $g_{d+1}(k)$ . Since  $\alpha_i(k)$ ,  $\gamma_i(k)$  and  $g_{d+1}(k)$  all have less than  $2^{4n^2}$  components, the total distance required to process  $x(k)$  is less than  $(2(n-1) + 1)2^{4n^2} f(t+1) = (2n-1)2^{4n^2} f(t+1)$ . Note that  $k$  is an arbitrary positive integer. We have proved that, for all  $k \geq 1$ , the distance of  $x(k)$  is bounded above by  $(2n-1)2^{4n^2} f(t+1)$ .

Before we prove the claim, we demonstrate by an example that the highway width of  $x(k)$  may be smaller than that of  $x$  when  $k > 1$ .

**Example 4.** Consider a distance automaton with 5 states  $\{q_1, q_2, q_3, q_4, q_5\}$  over a three-letter alphabet  $\{a, b, c\}$ . Suppose the 0-distance transitions consist of moves  $\{(q_i, a, q_j) \mid 2 \leq i \leq 5, 1 \leq j \leq 5\} \cup \{(q_1, b, q_1), (q_1, b, q_2), (q_2, b, q_3), (q_3, b, q_4), (q_4, b, q_5), (q_5, b, q_4)\} \cup \{(q_i, c, q_j) \mid 1 \leq i \leq 5, 2 \leq j \leq 5\}$ . Assume that all other moves are unreachable. That is, all moves are either of 0-distance or unreachable. Fig. 4 illustrates the highway structure for the string  $x = abc$ . The string  $x$  is decomposed into primitive strings  $a$ ,  $b$  and  $c$ , respectively, since each symbol has highway width 4, which is also the highway width of  $x$ . We observe that  $(\text{structure of } a, \text{structure of } bc) = (\text{structure of } ab, \text{structure of } c)$ . Let  $x(k) = ab^k c$ . One can verify that the highway width of  $x(k)$  is 3 for  $k > 1$ .

It remains to prove the claim.

Let us name the 0-distance path from  $u_i$  to  $v_i$  consuming  $(\beta_i(k))^k$  as  $H$ . We switch our attention back to  $x = x(1)$  instead of  $x(k)$ . Since  $(z_{i_e}, \beta_i(k), z_{i_{e+1}})$  is a 0-distance path, there is also a 0-distance path  $(z_{i_e}, \beta_i, z_{i_{e+1}})$ , where  $\beta_i = \beta_i(1)$ . Next, there is a 0-distance path  $H_1$  for  $x$  that intersects with the 0-distance path  $(z_{i_e}, \beta_i, z_{i_{e+1}})$ . Otherwise,

the highway width for  $\beta_i$  will be larger than that for  $x$ , a contradiction to the way that  $x$  is decomposed.

Recall that there is a 0-distance path from  $z_{i_e}$  to  $z_{i_f}$  consuming  $f - e$  copies of  $\beta_i(k)$ , where  $z_{i_e} = z_{i_f}$ . Let  $k'$  be an integer such that  $k' = \eta(f - e) + 1 > k$  for some integer  $\eta$ . Thus, there is a 0-distance path from  $z_{i_e}$  to  $z_{i_{e+1}}$  consuming  $k'$  copies of  $\beta_i(k)$ . Similarly, there is a 0-distance path (called  $H_2$ ) from  $z_{i_e}$  to  $z_{i_{e+1}}$  consuming  $k'$  copies of  $\beta_i$ .

Consider the string  $y_i^{k'} = \alpha_1 \beta_1 \gamma_1 \alpha_2 \beta_2 \gamma_2 \dots \alpha_i \beta_i^{k'} \gamma_i \dots \alpha_d \beta_d \gamma_d g_{d+1}$ . That is,  $y_i^{k'}$  is similar to  $x$  except that  $\beta_i$  is pumped for  $k'$  times. All other  $\beta_j$  are not pumped for  $j \neq i$ . We combine  $H_1$  and  $H_2$  to construct a 0-distance path  $H_3$  for  $y_i^{k'}$ . The construction is as follows. First, we use  $H_1$  until it intersects  $H_2$  in the traversal of the first copy of  $\beta_i$ . We continue using  $H_2$  until the intersection with  $H_1$  again in the traversal of the last copy of  $\beta_i$ . Then the 0-distance path switches back to using  $H_1$ . The construction is possible since both the first and last copy (which is the  $(\eta(f - e) + 1)$ th copy) of  $\beta_i$  are traversed by  $H_2$  using the same 0-distance path  $(z_e, \beta_i, z_{e+1})$ .

Next, consider the string  $y_i^k = \alpha_1 \beta_1 \gamma_1 \alpha_2 \beta_2 \gamma_2 \dots \alpha_i \beta_i^k \gamma_i \dots \alpha_d \beta_d \gamma_d g_{d+1}$ . That is,  $y_i^k$  is similar to  $x$  except that  $\beta_i$  is pumped for  $k$  times instead of  $k'$  times for  $y_i^{k'}$ . We want to modify  $H_3$  for  $y_i^{k'}$  to form a 0-distance path  $H_4$  for  $y_i^k$ . Note that  $k' > k$ . Let  $y_1 = \alpha_1 \beta_1 \gamma_1 \alpha_2 \beta_2 \gamma_2 \dots \alpha_{i-1} \beta_{i-1} \gamma_{i-1}$  and  $y_3 = \alpha_{i+1} \beta_{i+1} \gamma_{i+1} \dots \alpha_d \beta_d \gamma_d g_{d+1}$ . Let the 0-distance path  $H_3$  for  $y_i^{k'}$  be denoted as  $(h, y_1, h', \alpha_i, h'', \beta_i, h_1, \beta_i, h_2, \dots, \beta_i, h_{k'}, \gamma_i, h''', y_3, h'''' )$ . Note that  $h_1 = z_{e+1}, h_2 = z_{e+2}, \dots$  etc. Also note that  $h''$  is not necessarily  $z_e$ . By the definition that the structure of  $\beta_i(k) \gamma_i(k)$  is the same as the structure of  $\gamma_i(k)$ , the set of free state pairs are the same for  $\beta_i(k) \gamma_i(k)$  and  $\gamma_i(k)$ . Hence, the set of free state pairs are the same for  $\beta_i \gamma_i$  and  $\gamma_i$ . Thus, we can deduce a 0-distance path  $H_4$  for  $y_i^k$  as  $(h, y_1, h', \alpha_i, h'', \beta_i, h_1, \beta_i, h_2, \dots, \beta_i, h_k, \gamma_i, h''', y_3, h'''' )$ .

Let  $y_i(k) = \alpha_1 \beta_1 \gamma_1 \alpha_2 \beta_2 \gamma_2 \dots \alpha_i(k) \beta_i(k)^k \gamma_i(k) \dots \alpha_d \beta_d \gamma_d g_{d+1}$ . That is,  $y_i(k)$  is similar to  $y_i^k$  except that  $\alpha_i, \beta_i$  and  $\gamma_i$  are substituted by  $\alpha_i(k), \beta_i(k)$  and  $\gamma_i(k)$  respectively. We can deduce a 0-distance path  $H_5$  for  $y_i(k)$  as  $(h, y_1, h', \alpha_i(k), h'', \beta_i(k), h_1, \beta_i(k), h_2, \dots, \beta_i(k), h_k, \gamma_i(k), h''', y_3, h'''' )$ . This is because  $\alpha_i$  and  $\alpha_i(k)$  (respectively,  $\beta_i$  and  $\beta_i(k)$ ,  $\gamma_i$  and  $\gamma_i(k)$ ) have the same set of free pairs of states. Observe that  $H$  intersects with the 0-distance sub-path  $(h'', \beta_i(k), h_1, \beta_i(k), h_2, \dots, \beta_i(k), h_k)$  of  $H_5$ .

Note that the 0-distance path  $H_5$  for  $y_i(k)$  is very similar to the 0-distance path  $H_1$  for  $x$ . The two paths are the same for processing  $g_{d+1}$  and  $\alpha_j \beta_j \gamma_j$  where  $j \neq i$ .

Let us summarize the construction so far. From the 0-distance path from  $u_i$  to  $v_i$  consuming  $(\beta_i(k))^k$ , we consider a 0-distance path  $H_1$  for  $x$  from which we derive a 0-distance path for  $y_i(k)$ . We call the 0-distance path  $H_1$  the base of the 0-distance path for  $y_i(k)$ .

If we consider the 0-distance path from  $u_j$  to  $v_j$  consuming  $(\beta_j(k))^k$ , it is possible that it may give rise to the same base  $H_1$  for the 0-distance path for  $y_j(k)$ . Of course, it is also possible that the base may not be  $H_1$ .

There are  $t$  possible 0-distance path that we may consider as base 0-distance paths since the highway width for  $x$  is  $t$ .

With respect to  $H_1$ , we want to explain how to derive a 0-distance path for  $x(k) = \alpha_1(k) \beta_1(k)^k \gamma_1(k) \alpha_2(k) \beta_2(k)^k \gamma_2(k) \dots \alpha_d(k) \beta_d(k)^k \gamma_d(k) g_{d+1}(k)$ .

Let  $i \in \{1, \dots, d\}$ . If  $H_1$  is the base of the 0-distance path for  $y_i(k)$ , we define the 0-distance sub-path of  $x(k)$  for the substring  $\alpha_i(k)\beta_i(k)^k\gamma_i(k)$  to be the same as the 0-distance sub-path of  $y_i(k)$  for  $\alpha_i(k)\beta_i(k)^k\gamma_i(k)$ . If  $H_1$  is not the base of the 0-distance path for  $y_i(k)$ , let  $(\phi_i, \alpha_i, \varphi_i, \beta_i, \chi_i, \gamma_i, \psi_i)$  be the 0-distance sub-path of  $H_1$  for the substring  $\alpha_i\beta_i\gamma_i$  of  $x$ . Since  $\alpha_i$  and  $\alpha_i(k)$  (respectively,  $\beta_i$  and  $\beta_i(k)$ ,  $\gamma_i$  and  $\gamma_i(k)$ ) have the same set of free pairs of states, we can deduce a 0-distance path  $(\phi_i, \alpha_i(k), \varphi_i, \beta_i(k), \chi_i, \gamma_i(k), \psi_i)$ . Since the structure of  $\beta_i(k)\gamma_i(k)$  is the same as the structure of  $\gamma_i(k)$ , there exists a state  $\chi_i^2$  that gives rise to a 0-distance path  $(\phi_i, \alpha_i(k), \varphi_i, \beta_i(k), \chi_i, \beta_i(k), \chi_i^2, \gamma_i(k), \psi_i)$ . Similarly, we can define  $\chi_i^3, \chi_i^4, \dots, \chi_i^k$  such that  $(\phi_i, \alpha_i(k), \varphi_i, \beta_i(k), \chi_i, \beta_i(k), \chi_i^2, \beta_i(k), \chi_i^3, \dots, \beta_i(k), \chi_i^k, \gamma_i(k), \psi_i)$  is a 0-distance path.

We have explained how to construct a 0-distance path for  $x(k)$  for a base 0-distance path  $H_1$  of  $x$ . For a different base 0-distance path of  $x$ , the same construction allows us to define another 0-distance path for  $x(k)$ .

**Remark.** In fact, one can prove a slightly stronger statement which states that the 0-distance path from  $u_i$  to  $v_i$  consuming  $(\beta_i(k))^k$  can be part of one 0-distance path for  $x(k)$ . That is, one can extend the 0-distance path from  $u_i$  to  $v_i$  consuming  $(\beta_i(k))^k$  on both sides to form one 0-distance path for  $x(k)$ .

#### 2.4.3. Special case (highway width is 0)

The special case when the highway width for  $x$  is 0 is similar to the general case. The difference is that when the string  $x$  is long enough for pumping (that is, when the number of components in the decomposition of  $x$  exceeds  $2^{4n^2}$ ), there will be no bounded pairs for the relatives of  $x$ . When pumping is not considered in order that we can discuss the limited distance behaviors of  $x$ , the string  $x$  must have less than  $2^{4n^2}$  components, where each component is of highway width 0. Thus, it follows that  $f(0) = 2^{4n^2} f(1)$ .

#### 2.4.4. Tighter bound

Given the relatives of  $x$  and the relatives of  $y$ , we say that the two families of relatives have the same ‘reduced structure’ if the two relative families have the same set of good state pairs and the same set of bad state pairs. Since there are  $n^2$  possible state pairs, there could be at most  $2^{n^2}$  possible different reduced structures of relative families.

By inspecting the proof carefully, we see that the structure of the  $i$ th partition can be defined in a weaker way as the ordered pair (reduced structure of  $x_{rc+1, rc+i-1}(k)$ , structure of  $x_{rc+i, rc+c}(k)$ ). All the arguments in the proof still work. Only the remark made at the end of subsection 2.4.2 no longer holds. The consequence is that we can obtain a stronger result.

**Theorem 3.** *The distance of an automaton, if limited, is bounded by  $2^{3n^3+n \lg n+n-1}$ .*

#### 2.4.5. Comparisons with Hashiguchi’s original proof

The technique presented in this paper is originated by Hashiguchi [7]. We re-organize the proof by introducing concepts (lanes of a highway, relatives) that are easier to

understand. Many definitions in Hashiguchi's paper are omitted. We have committed ourselves to present the most direct proof for showing the upper bound on the distance when the automaton is assumed to be limited in distance. We did not prove the several equivalent formulations of the result found in Hashiguchi's paper. Moreover, the second half of the arguments given in case 3 of Section 2.4.2 are new. We have included a discussion of how the highway structure of the  $k$ th relative of  $x$  relates to the highway structure of  $x$ , which we believe is crucial in the correctness of the proof. In addition, we are able to improve the upper bound found by Hashiguchi.

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